

Synthesis of a Sliding mode Multi-Observers for Nonlinear Perturbed Output Systems: Application to a denitrification process

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Abstract—In this paper we present an approach to design a multi-observers for a nonlinear perturbed output system. This approach is based on the multiple model and the sliding mode theories. the multiple model formulation is obtained by applying the method of the sector nonlinearity transformation to represent nonlinear systems into an equivalent multiple model. The sliding surface is chosen as the error between the estimated and the real system outputs. The convergence of the state estimation error is proved using an appropriate Lyapunov function and the stability conditions are given in terms of Linear Matrix Inequalities (LMIs). The gains of the multi-observer are given by resolving LMIs. An application to a denitrification process is considered and the effectiveness of the proposed multi-observers are illustrated through simulation results.

Keywords—Nonlinear systems, uncertain output systems, Multiple models, sliding mode observer (SMO), robust observer.

I. INTRODUCTION

Modeling systems is an important step that precedes the design of estimators. The methods of describing nonlinear systems are various and diverse. One of them is the multiple models approach, presented by [1], which is a representation of nonlinear system by an interpolation of linear local models through the activation functions with appropriate index variables. It is an interested tool by its use in many areas like control, estimation and diagnosis [2,3,4]. In fact, it provides a good representation of the dynamic behavior of nonlinear systems and an ease of elaborating estimation algorithm of state variables. In the literature, the obtention of multiple models' structures is presented in different ways [1,5]. On the other side, the sliding mode theory is considered as one of the most important approach in developing estimator schemes, since it shows good performance as the robustness against perturbations. The idea of such theory is based on the concepts of sliding surface and equivalent control. The design of sliding mode observers is well motivated for nonlinear systems [6-12] and linear ones [13-20]. The work [13] treated the problem of estimation through the sliding mode observer based on equivalent control. An other approach, in [14], based on Lyapunov method is established in the estimation of state

variables, under matching condition, with the presence of unknown inputs and uncertainties.

The linear tools using sliding mode approach in state estimation was extended in the multiple models form [21-24].

This paper aims to design a robust observer based on multiple models structure for nonlinear systems with an output vector affected by uncertainties or disturbances. This paper is organized as follows. Section II presents the problem formulation. Section III presents the structure of the proposed observer and the convergence analysis. Section IV the multiple sliding mode observer is applied for the estimation of states in denitrification process in the presence of perturbations affecting the output of the system.

II. PROBLEM STATEMENT

Consider a nonlinear system described by:

$$\begin{cases} \dot{x} = f(x, u) \\ y = Cx + d \end{cases} \quad (1)$$

Where $x \in R^n, u \in R^m$ and $y \in R^p$ are, respectively, the state vector, the input vector and the output vector of the system. d is the perturbations vector which affects the output and verifying the following property: $\|d\| \leq \bar{d}$.

the system (1) can rewritten using the following multiple models form given by:

$$\begin{cases} \dot{x} = \sum_1^M \mu_i(x)(A_i x + B_i u) \\ y = Cx + d \end{cases} \quad (2)$$

A_i and B_i are matrices with appropriate dimensions.

$C = [I_{p \times p} \ 0_{n-p}]$ and verifying $C^T C = I_{p \times p}$

The activation functions $\mu_i(x)$ verify the convex property:

$$\begin{cases} \sum_1^M \mu_i(x) = 1 \\ 0 \leq \mu_i(x) \leq 1 \end{cases} \quad (3)$$

The objective of this paper is to develop a sliding mode observer for the reconstruction of unmeasured variables of a nonlinear system with perturbed output.

III. DESIGN OF A MULTIPLE SLIDING MODE OBSERVER

A. Structure of the observer

The structure of the proposed observer is given by:

$$\begin{cases} \dot{\hat{x}} = \sum_1^M \mu_i(\hat{x})(A_i \hat{x} + B_i u + L_i(y - \hat{y}) + L_i \alpha_i) \\ \hat{y} = C \hat{x} \end{cases} \quad (4)$$

Where $\hat{x} \in R^n$ is the estimate state vector, $L_i \in R^{n \times p}$ are the estimation gains. α_i represent the sliding mode gains.

Theorem : The error estimation between the system (2) and (4) converges asymptotically to zero if there exist matrix positive definite symmetric $P = P^T > 0$, and F_i satisfying the following constraints

$$\left\{ \begin{array}{l} \left[\begin{array}{cc} -A_i^T P - P A_i + C^T W_i + W_i^T C - \lambda & P \\ P & I \end{array} \right] > 0 \\ C^T F_i^T = P L_i \end{array} \right. \quad (5)$$

And the following conditions are fulfilled :

$$\begin{cases} \text{if } r \neq 0, \text{ then } \alpha_i = \bar{d} \frac{F_i r}{\|F_i r\|} \\ \text{if } r = 0, \text{ then } \alpha_i = 0 \end{cases} \quad (6)$$

where $\lambda = \mu^2$, $L_i = P^{-1} W_i$ and $r = C e$

B. Stability of the observer

In the proof of theorem, the following lemma is used :

Lemma : for every two matrices X and Y with appropriate dimensions, the following property holds :

$$X^T Y + Y^T X \leq X^T X + Y^T Y \quad (7)$$

In order to prove the stability of the observer, let's define the state error:

$$e = x - \hat{x} \quad (8)$$

The dynamic of estimation error is given by :

$$\dot{e} = \dot{x} - \dot{\hat{x}} \quad (9)$$

$$\dot{e} = \sum_1^M \mu_i(\hat{x})((A_i - L_i C)e - L_i d - L_i \alpha_i) + \Delta \quad (10)$$

where:

$$\Delta = \sum_1^M (\mu_i(x) - \mu_i(\hat{x}))(A_i x + B_i u)$$

it is assumed that Δ verifies the Lipchitz condition:

$$\|\Delta\| \leq \mu \|e\| \quad (11)$$

Considering the following candidate Lyapunov function:

$$V = e^T P e \quad (12)$$

Using(10), its time derivative is given by :

$$\dot{V} = \dot{e}^T P e + e^T P \dot{e}$$

$$\begin{aligned} \dot{V} = & \sum_1^M \mu_i(\hat{x})(e^T (A_i - L_i C)^T P e - d^T L_i^T P e - \alpha_i^T L_i^T P e \\ & + e^T P (A_i - L_i C) e - e^T P L_i d - e^T P L_i \alpha_i + \Delta^T P e + e^T P \Delta) \end{aligned} \quad (13)$$

using the lemma: $\Delta^T P e + e^T P \Delta \leq e^T P P e + \Delta^T \Delta$

$$\begin{aligned} \dot{V} \leq & \sum_1^M \mu_i(\hat{x})(e^T ((A_i - L_i C)^T P + P(A_i - L_i C) + P^2) e + \Delta^T \Delta \\ & - 2e^T P L_i d - 2e^T P L_i \alpha_i) \end{aligned} \quad (14)$$

Using the constraint: $C^T F_i^T = P L_i$ a new inequality can be obtained:

$$\begin{aligned} \dot{V} \leq & \sum_1^M \mu_i(\hat{x})(e^T ((A_i - L_i C)^T P + P(A_i - L_i C) + P^2) e + \Delta^T \Delta \\ & - 2e^T C^T F_i^T d - 2e^T C^T F_i^T \alpha_i) \end{aligned} \quad (15)$$

$$r = C e$$

$$\begin{aligned} \dot{V} \leq & \sum_1^M \mu_i(\hat{x})(e^T ((A_i - L_i C)^T P + P(A_i - L_i C) + P^2) e + \Delta^T \Delta \\ & - 2r^T F_i^T d - 2r^T F_i^T \alpha_i) \end{aligned} \quad (16)$$

Remembering that d is bounded ($\|d\| \leq \bar{d}$). This leads to obtain:

$$\begin{aligned} \dot{V} \leq & \sum_1^M \mu_i(\hat{x})(e^T ((A_i - L_i C)^T P + P(A_i - L_i C) + P^2) e + \Delta^T \Delta \\ & + 2\bar{d}\|F_i r\| - 2r^T F_i^T \alpha_i) \end{aligned} \quad (17)$$

Two cases can be investigated:

1^{rst} case :if $r \neq 0$ then:

$$2r^T F_i^T \alpha_i - 2\bar{d}\|F_i r\| = 0$$

$$\alpha_i = \bar{d} \frac{F_i r}{\|F_i r\|}$$

$$\dot{V} \leq \sum_1^M \mu_i(\hat{x})(e^T ((A_i - L_i C)^T P + P(A_i - L_i C) + P^2) e + \Delta^T \Delta) \quad (18)$$

2nd case :if $r = 0$ then $\alpha_i = 0$

finally, we can obtain the following inequality:

$$\dot{V} \leq \sum_1^M \mu_i(\hat{x})(e^T ((A_i - L_i C)^T P + P(A_i - L_i C) + P^2) e + \Delta^T \Delta) \quad (19)$$

using(11), \dot{V} becomes:

$$\dot{V} \leq \sum_1^M \mu_i(\hat{x})(e^T((A_i - L_i C)^T P + P(A_i - L_i C) + P^2)e + \mu^2 \|e\|^2)$$

$$\dot{V} \leq \sum_1^M \mu_i(\hat{x})(e^T((A_i - L_i C)^T P + P(A_i - L_i C) + P^2 + \mu^2 I)e)$$
(20)

Using the Schur complement with supposing $\lambda = \mu^2$ and $W_i = PL_i$. The following inequality must be verified to ensure the stability of the observer ($\dot{V} < 0$):

$$\begin{bmatrix} -A_i^T P - PA_i + C^T W_i + W_i^T C - \lambda & P \\ P & I \end{bmatrix} > 0$$
(21)

In summary, $V > 0$ and $\dot{V} < 0$, the error estimation converges asymptotically to zero.

IV. NUMERICAL EXAMPLE

A. Description of denitrification process

In this section, the proposed observer approach is applied to a model of denitrification process. The process is described by the following model[25]:

$$\begin{cases} \dot{S}_1 = -y_{11}\mu_1 X + D(S_{1in} - S_1) \\ \dot{S}_2 = (y_{12}\mu_1 - y_{22}\mu_2)X + D(S_{2in} - S_2) \\ \dot{S}_3 = -(y_{13}\mu_1 + y_{23}\mu_2)X + D(S_{3in} - S_3) \\ \dot{X} = (\mu_1 + \mu_2)X - k_d X - DX \end{cases} \quad (22)$$

Where S_1, S_2, S_3 and X are respectively the concentrations of the respective species. S_{1in}, S_{2in} and S_{3in} are the respective supply of S_1, S_2 and S_3 concentrations. k_d is the mortality rate of the microorganisms. D is the dilution rate, and the y_{ij} denote yield coefficients and finally μ_1 and μ_2 are specific growth rates of the biomass respectively acetic acid and nitrite and have the following expressions :

$$\mu_1 = \mu_{1max} \frac{S_3}{(S_3 + k_{S_3})} \frac{S_1}{(S_1 + k_{S_1})}$$

$$\mu_2 = \mu_{2max} \frac{S_3}{(S_3 + k_{S_3})} \frac{S_2}{(S_2 + k_{S_2})}$$

Let's define the state vector x , the input vector u and the output vector y of the system : $x = [S_1 \ S_2 \ S_3 \ X]^T$,

$$u = [S_{1in} \ S_{2in} \ S_{3in}]^T \text{ and } y = \begin{bmatrix} S_1 \\ S_2 \\ S_3 \end{bmatrix} + d$$

B. Multiple Model form

The multiple model is adopted as an approach in order to design the observer for state estimation. We employ the method of the sector nonlinearity transformation. Considering the process, we define the following nonlinearities as the premise variables :

$$z_1 = D \quad (23)$$

$$z_2 = \frac{S_3}{(S_3 + k_{S_3})} \frac{S_1}{(S_1 + k_{S_1})} \quad (24)$$

$$z_3 = \frac{S_3}{(S_3 + k_{S_3})} \frac{S_2}{(S_2 + k_{S_2})} \quad (25)$$

The nonlinear model can be written in the following quasi-Linear Parameter Variant (LPV) form :

$$\dot{x} = A(z)x + B(z)u \quad (26)$$

With matrix $A(z)$ and $B(z)$ are expressed by using the premise variables:

$$A(z) = \begin{bmatrix} -z_1 & 0 & 0 & a_{14} \\ 0 & -z_1 & 0 & a_{24} \\ 0 & 0 & -z_1 & a_{34} \\ 0 & 0 & 0 & a_{44} \end{bmatrix} \quad (27)$$

$$B(z) = \begin{bmatrix} -z_1 & 0 & 0 \\ 0 & z_1 & 0 \\ 0 & 0 & -z_1 \\ 0 & 0 & 0 \end{bmatrix} \quad (28)$$

where

$$a_{14} = -y_{11}\mu_{1max}z_2$$

$$a_{24} = y_{12}\mu_{1max}z_2 - y_{22}\mu_{2max}z_3$$

$$a_{34} = -y_{13}\mu_{1max}z_2 - y_{23}\mu_{2max}z_3 \quad (29)$$

$$a_{44} = -z_1 + \mu_{1max}z_2 + \mu_{2max}z_3 - k_d$$

Each one of the premise variables can be expressed as :

$$z_j = F_{j1}z_{j1} + F_{j2}z_{j2}, \text{ for } j = 1, 2, 3 \quad (30)$$

where

$$z_{j1} = \max\{z_j\}$$

$$z_{j2} = \min\{z_j\}$$

$$F_{j1} = \frac{z_j - z_{j2}}{z_{j1} - z_{j2}} \quad (31)$$

$$F_{j2} = \frac{z_{j1} - z_j}{z_{j1} - z_{j2}}$$

The constant matrices A_i and B_i defining the 8 submodels are determined by using the matrices $A(z)$ and $B(z)$ and $z(j, i)$ $i = 1, 2$ and $j = 1, 2, 3$.

$$A_1 = A(z_{11}, z_{21}, z_{31}), A_2 = A(z_{11}, z_{21}, z_{32})$$

$$A_3 = A(z_{11}, z_{22}, z_{31}), A_4 = A(z_{11}, z_{22}, z_{32})$$

$$A_5 = A(z_{12}, z_{21}, z_{31}), A_6 = A(z_{12}, z_{21}, z_{32}) \quad (32)$$

$$A_7 = A(z_{12}, z_{22}, z_{31}), A_8 = A(z_{12}, z_{22}, z_{32})$$

$$B_1 = B(z_{11}), B_2 = B_3 = B_4 = B_1$$

$$B_5 = B(z_{12}), B_6 = B_7 = B_8 = B_5$$

The activation functions have the following expressions :

$$\mu_1(z) = F_{11}F_{21}F_{31}, \mu_2(z) = F_{11}F_{21}F_{32}$$

$$\mu_3(z) = F_{11}F_{22}F_{31}, \mu_4(z) = F_{11}F_{22}F_{32}$$

$$\mu_5(z) = F_{12}F_{21}F_{31}, \mu_6(z) = F_{12}F_{21}F_{32} \quad (33)$$

$$\mu_7(z) = F_{12}F_{22}F_{31}, \mu_8(z) = F_{12}F_{22}F_{32}$$

Finally the nonlinear model can be written in multiple model form:

$$\begin{cases} \dot{x} = \sum_1^8 \mu_i(x)(A_i x + B_i u) \\ y = Cx + d \end{cases} \quad (34)$$

Table I. INITIAL CONDITIONS

| Variables | Values |
|----------------|----------|
| $S_1(0)$ | 0.6 g/l |
| $S_2(0)$ | 0 g/l |
| $S_3(0)$ | 2.77 g/l |
| $X(0)$ | 0.15 g/l |
| $\dot{S}_1(0)$ | 0.8 g/l |
| $\dot{S}_2(0)$ | 0.1 g/l |
| $\dot{S}_3(0)$ | 3 g/l |
| $\dot{X}(0)$ | 0.2 g/l |

Table II. PARAMETERS VALUES

| Variables | Values |
|---------------|----------------|
| y_{11} | 6.2 |
| y_{12} | 3.3 |
| y_{22} | 1.2 |
| y_{13} | 1.1 |
| y_{23} | 1.6 |
| μ_{1max0} | $0.17 h^{-1}$ |
| μ_{2max0} | $0.085 h^{-1}$ |
| k_{S_1} | 0.05 g/l |
| k_{S_2} | 0.07 g/l |
| k_{S_3} | 0.1 g/l |
| k_d | $0.025 h^{-1}$ |

$$L_1 = \begin{bmatrix} 112.3997 & -0.0105 & 0.0188 \\ 0.2790 & 112.2738 & 0.1277 \\ 0.4038 & -0.1284 & 112.5503 \\ -151.6356 & 22.9951 & -103.8554 \end{bmatrix}, L_2 = \begin{bmatrix} 112.3981 & -151.6278 & -0.0110 \\ 0.2797 & 112.2504 & 0.0976 \\ 0.4035 & -0.1785 & 112.4834 \\ -151.6278 & 36.7194 & -85.5495 \end{bmatrix}$$

$$L_3 = \begin{bmatrix} 112.1589 & 0.1245 & -0.0151 \\ 0.0547 & 112.3908 & 0.0850 \\ -0.1087 & 0.1473 & 112.4632 \\ -9.4321 & -52.6683 & -78.5916 \end{bmatrix}, L_4 = \begin{bmatrix} 112.1679 & 0.0976 & -0.0433 \\ 0.0502 & 112.3700 & 0.0533 \\ -0.1063 & 0.0957 & 112.3963 \\ -9.4971 & -38.9164 & -60.3130 \end{bmatrix}$$

$$L_5 = \begin{bmatrix} 112.4695 & -0.0105 & 0.0189 \\ 0.2795 & 112.3435 & 0.1276 \\ 0.4040 & -0.1285 & 112.6203 \\ -151.6084 & 23.0185 & -103.7999 \end{bmatrix}, L_6 = \begin{bmatrix} 112.4681 & -0.0315 & -0.0111 \\ 0.2798 & 112.3202 & 0.0972 \\ 0.4040 & -0.1785 & 112.5534 \\ -151.5966 & 36.7434 & -85.4895 \end{bmatrix}$$

$$L_7 = \begin{bmatrix} 112.2453 & 0.1169 & -0.0110 \\ 0.0465 & 112.4640 & 0.0823 \\ -0.1047 & 0.1445 & 112.5332 \\ -9.4527 & -52.5975 & -78.5156 \end{bmatrix}, L_8 = \begin{bmatrix} 112.2496 & 0.0916 & -0.0409 \\ 0.0436 & 112.4428 & 0.0514 \\ -0.1047 & 0.0939 & 112.4656 \\ -9.3883 & -38.8725 & -60.1583 \end{bmatrix}$$

C. Simulation Results

The initial conditions and parameters values are given, respectively, by tables 1 and 2.

The evolution of the known inputs of the denitrification process are shown by Figure 1:

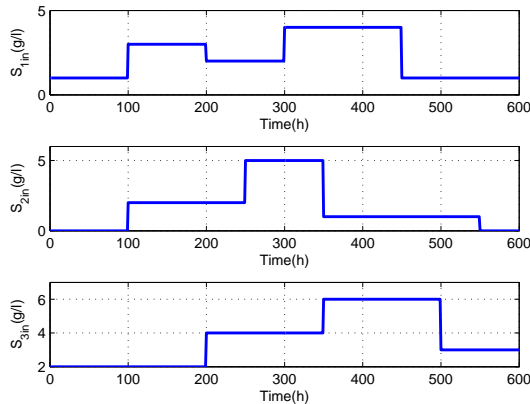


Fig. 1 Evolution of the known inputs

the perturbations vector d has the following expression:

$$d = \begin{bmatrix} 0.02 \sin\left(\frac{2\pi t}{15}\right) \\ 0.02 \sin\left(\frac{2\pi t}{15}\right) \\ 0.02 \sin\left(\frac{2\pi t}{15}\right) \end{bmatrix}$$

The resolution of the inequalities in (5) leads to obtain the scalar $\mu = 0.051$ and the matrices P and L_i :

$$P = \begin{bmatrix} 12.9785 & -0.0045 & 0.0197 & 0.0212 \\ -0.0045 & 12.9569 & 0.0058 & 0.0215 \\ 0.0197 & 0.0058 & 12.9747 & 0.0475 \\ 0.0212 & 0.0215 & 0.0475 & 0.0772 \end{bmatrix}$$

The values of F_i solutions of the constraint : $C^T F_i^T = P L_i$

$$F_1 = 10^3 \begin{bmatrix} 1.4556 & -0.0001 & 0.0003 \\ -0.0002 & 1.4552 & 0.0001 \\ 0.0003 & 0.0001 & 1.4554 \end{bmatrix}, F_2 = 10^3 \begin{bmatrix} 1.4556 & -0.0001 & 0.0002 \\ -0.0001 & 1.4552 & 0.0001 \\ 0.0003 & 0.0001 & 1.4554 \end{bmatrix}$$

$$F_3 = 10^3 \begin{bmatrix} 1.4556 & 0.0000 & 0.0004 \\ -0.0000 & 1.4551 & 0.0001 \\ 0.0004 & 0.0001 & 1.4554 \end{bmatrix}, F_4 = 10^3 \begin{bmatrix} 1.4556 & -0.0001 & 0.0004 \\ -0.0001 & 1.4551 & -1.8677 \\ 0.0004 & 1.8677 & 1.4554 \end{bmatrix}$$

$$F_5 = 10^3 \begin{bmatrix} 1.4565 & -0.0001 & 0.0003 \\ -0.0000 & 1.4561 & 0.0001 \\ 0.0003 & 0.0001 & 1.4563 \end{bmatrix}, F_6 = 10^3 \begin{bmatrix} 1.4565 & -0.0001 & 0.0003 \\ -0.0001 & 1.4561 & 0.0001 \\ 0.0003 & 0.0001 & 1.4563 \end{bmatrix}$$

$$F_7 = 10^3 \begin{bmatrix} 1.4565 & -0.0001 & 0.0003 \\ -0.0001 & 1.4561 & 0.0001 \\ 0.0003 & 0.0001 & 1.4564 \end{bmatrix}, F_8 = 10^3 \begin{bmatrix} 1.4566 & -0.0001 & 0.0004 \\ -0.0001 & 1.4561 & 0.0000 \\ 0.0004 & 0.0000 & 1.4563 \end{bmatrix}$$

The simulations are carried out with variations of some parameters given by the following figure:

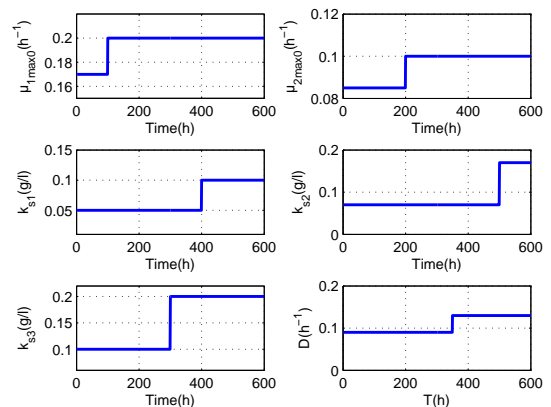


Fig. 2 Parameters variations

First, the observer is applied without the robust term, and the estimation performance is shown in Fig.1-4. It is shown that the estimation is not good specially for the unmeasured

state X . Then, the proposed robust observer is applied, and the improved estimation performance is shown in Fig.5-8. Each sliding mode gain α_i is modified as follows :

$$\begin{cases} \text{if } r \neq 0, \text{ then } \alpha_i = \bar{d} \frac{F_i r}{\|F_i r\| + \delta} \\ \text{if } r = 0, \text{ then } \alpha_i = 0 \end{cases} \quad (35)$$

The parameter δ is a small scalar and it is used in order to smooth out the discontinuity.

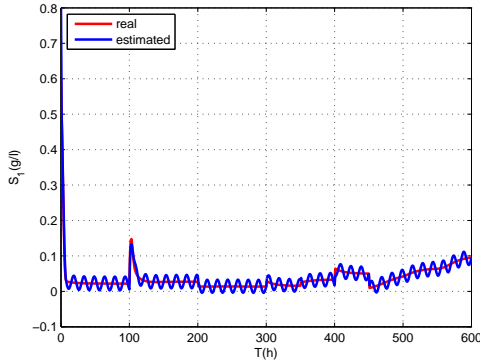


Fig. 3 Evolution of S_1 and \hat{S}_1 in the absence of robust term

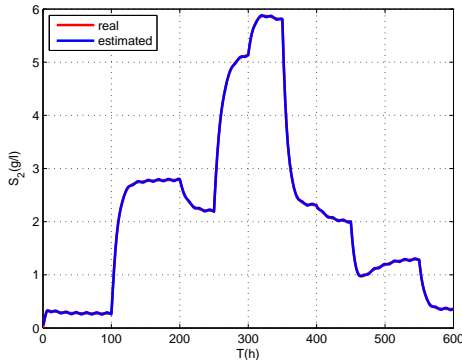


Fig. 4 Evolution of S_2 and \hat{S}_2 in the absence of robust term

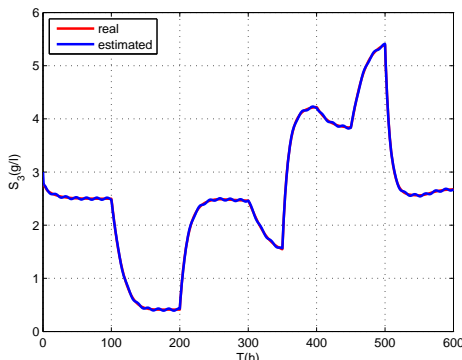


Fig. 5 Evolution of S_3 and \hat{S}_3 in the absence of robust term

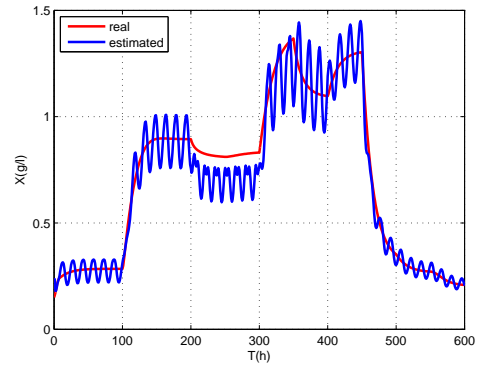


Fig. 6 Evolution of X and \hat{X} in the absence of robust term

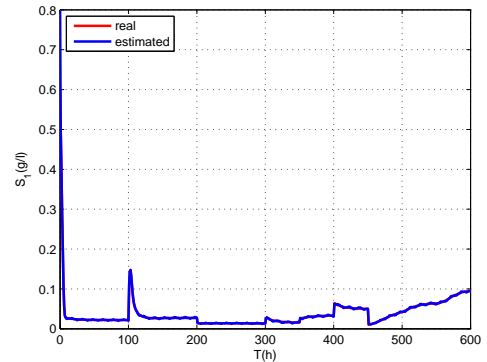


Fig. 7 Evolution of S_1 and \hat{S}_1 with robust term

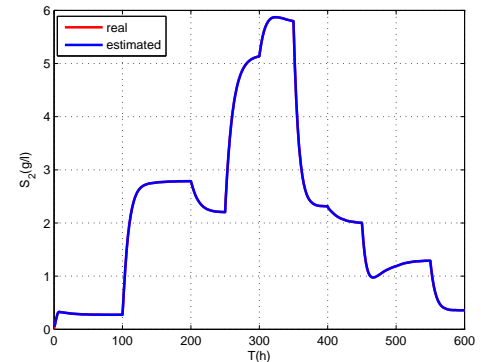


Fig. 8 Evolution of S_2 and \hat{S}_2 with robust term

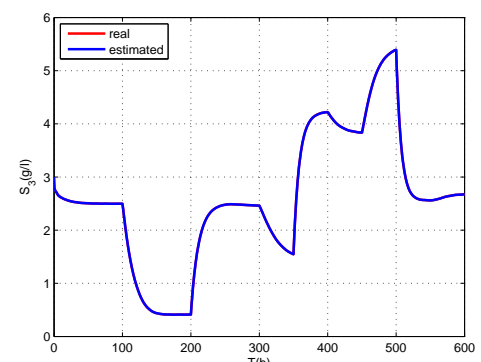


Fig. 9 Evolution of S_3 and \hat{S}_3 with robust term

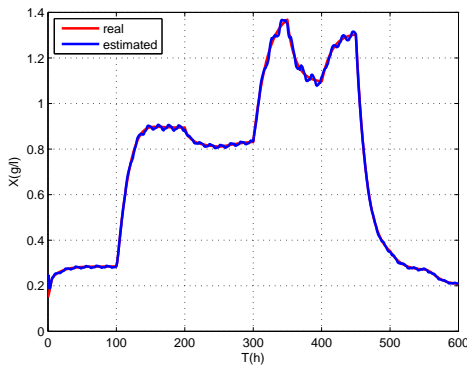


Fig. 10 Evolution of X and \hat{X} with robust term

V. CONCLUSION

In this work, a sliding mode multi-observers for nonlinear output perturbed systems is developed. The nonlinear system is consistently represented by a multiple model. The stability is studied with the Lyapunov theory that allows to derive conditions ensuring the convergence of the state estimation error. The existence conditions are expressed in terms of LMIs that can be solved with LMIS Toolbox in Matlab in order to obtain observer gains. The synthesized multi-observers is applied to a denitrification process. From the simulation results, it is clear that the discontinuous term is able to eliminate an output perturbation.

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